

Electronic Acknowledgement Receipt

EFS ID:	10353189
Application Number:	09536258
International Application Number:	
Confirmation Number:	3646
Title of Invention:	HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS
First Named Inventor/Applicant Name:	Gary L. Gastineau
Customer Number:	22930
Filer:	Kurt M. Maschoff/Edith Martin
Filer Authorized By:	Kurt M. Maschoff
Attorney Docket Number:	00322.0007.NPUS00
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	N09004_RevocationNewPOA_S tatement.pdf	79389 6335dc2bdb56d497ad6ec541588638be7a 9b3375	no	2

Warnings:

Information:

2	Miscellaneous Incoming Letter	N09004_TransmittalForm.pdf	261504	no	2
			c6fcaa09ad2f54ca3abb2012b7e7cd5c4f6b6e69		
Warnings:					
Information:					
Total Files Size (in bytes):				340893	
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					